



GAU 2881  
#4/185  
2-15-01  
R. Stokes

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Daryoosh Vakhshoori et al.  
Serial No.: 09/639,678  
Filed: 8/11/00  
Title: METHOD FOR MODULATING AN  
OPTICALLY PUMPED, TUNABLE  
VERTICAL CAVITY  
SURFACE EMITTING LASER (VCSEL)  
Group Art Unit: 2881  
Examiner: - -  
Attorney's Docket No.: CORE-57

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT SUBMITTED  
UNDER 37 C.F.R. 1.97(c) (1)

In accordance with their duty to disclose information which may be material to patentability under 37 CFR 1.97(c) (1) and 1.98, Applicants are submitting herewith (1) two copies of Form PTO-1449 (one page) listing a number of references which are believed to be pertinent to the disclosure of the above-captioned application, and (2) one copy of each of the references as follows:

- (1) U.S. Patent # 5,991,318 issued to Caprara et al. on 11/23/99;
- (2) U.S. Patent # 6,088,376 issued to O'Brien et al. on 07/11/00; and
- (3) U.S. Patent # 5,933,444 issued to Molva et al. on 08/03/99.

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It is respectfully requested that these documents be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned to the undersigned with the next Official Action.

Each of the above-identified items of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

If any fees are required to be paid, please charge the same to Deposit Account No. 16-0221.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON:

JANUARY 03, 2001

(DATE OF DEPOSIT)

JAMES A. SHERIDAN

(NAME OF ATTORNEY)

James A. Sheridan

(SIGNATURE)

JANUARY 03, 2001

(DATE OF SIGNATURE)

Respectfully submitted,

*James A. Sheridan 1/3/01*

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